This sample procedure should be customized to fit the needs of your company. Refer to *the Excellence program Topic Requirements*. Once you have done that, simply add or delete from this procedure to integrate it into your internal processes.

This procedure is not meant to be used as-is.

**CONTROL OF RECORDS**

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| **Date of Issue:** choose issue date | **Review Date:** choose date for review |
| **Written by:** person(s) who wrote document | **Date:** insert date written |
| **Reviewed by:** person(s) who reviewed | **Date:** insert date written |
| **Approved by:** person responsible for process | **Date:** insert date written |

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| **PURPOSE** | |
| To ensure that health and safety records are accurate, legible, controlled and available for review when required. | |
| **SCOPE** | |
| This procedure covers all employees, areas of the company and areas of the Health and Safety Management System (HSMS) where records of health and safety exist. Examples of records include; training records, meeting minutes, inspection reports, evaluation reports, sampling reports, documented interviews, test results | |
| **RELATED DOCUMENTATION** | |
| **Internal**   * Health and Safety Records List   **External**   * Workplace Safety and Insurance Act | |
| **DEFINITIONS** | |
| HSMS | Health and Safety Management System |
| JHSC | Joint Health & Safety Committee |
| Document | Describes the “who, what, when” of a process and are changed as needed |
| Record | Demonstrate that training, communication and implementation have taken place. Unlike documents, records are created when things are done and are never modified once they have been created. |
| Workplace | Any place in, on, or near where a worker works. It could be a building, an open field, a road, forest, vehicle etc. |
| WSIA | Workplace Safety and Insurance Act |
| WSIB | Workplace Safety and Insurance Board |

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| **ROLES & RESPONSIBILITIES** |
| **Health and Safety Coordinator (or state other position) is responsible for:**   * Ensuring that the requirements of this document are understood, implemented and maintained by all affected personnel.   **Employees are responsible for:**   * Maintaining their records in accordance with this procedure. |
| **PROCEDURE** |
| * The (state the position, e.g. Health and Safety Coordinator) shall review all the documentation in the health and safety management system to determine which records (state your company name) determines to be necessary for planning, implementation, control, evaluation and overall management of the health and safety management system. * The creation, collection, retention, and distribution of records must comply with all applicable legal requirements, (collective agreements if your company is unionized) and organizational policies, as appropriate. We will create and maintain records to provide evidence of conformity to health and safety system requirements. * The (state the position, e.g. Health and Safety Coordinator) shall identify the record name, indexing, filing and/or storage location(s), minimum retention period, function responsible for review & maintenance, & approved method of disposal for all applicable records. This is all listed on a master matrix, called the Health and Safety Record List, located (state where, e.g. on a certain server). * The (state the position, e.g. Health and Safety Coordinator) shall circulate the Health and Safety Record List to applicable personnel, to ensure that everyone knows their responsibilities. * The various “owners” of health and safety records shall protect records from loss, damage or deterioration. * All employees who complete the various records shall enter all required information and ensure that the records are legible * All employees responsible for maintaining the records shall check to ensure that all information is available and legible before filing, and also correct missing, inaccurate or unclear information before filing. * All employees responsible for maintaining the records shall pprotect them from sunlight, heat, moisture, etc. and shall also clearly mark files/boxes and even electronic storage/files as per indexing requirements. * All employees responsible for maintaining the records shall kkeep original in place and make copy if record is required away from filing/storage area. They shall also not release records to external parties, unless approved by a member of management. * All employees who handle records shall ensure the protection of privacy and confidentiality, as appropriate. * Employees have the right to access records relevant to their health and safety, while respecting the need for confidentiality. |

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| **CHANGES TRACKING** | |
| **DETAILS OF CHANGES** | **DATE CHANGED** |
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